PATENT APPLICATION

DOCKET: 14113.57.1

WORKMAN, NYDEGGER & SEELEY 1000 Eagle Gate Tower

60 East South Temple Salt Lake City, Utah 84111

ASSIGNMENT

Whereas, Qualix Group, Inc. (dba Fulltime Software, Inc.), a corporation of the State of

Delaware, having a place of business at 3210 Porter Drive, Palo Alto, California 94304, is the

owner of the entire right, title and interest in and to application for United States Letters Patent,

Serial No. 09/074,982, filed May 8, 1998 in the name of Galipeau, et al. and the invention therein

described; and

Whereas, Legato Systems, Inc., a corporation of the State of Delaware, having a place of

business at 3210 Porter Drive, Palo Alto, California 94304, is desirous of acquiring the entire right,

title and interest in and to the said invention within the United States of America and its territorial

possessions and within the countries foreign to the United States and any United States or foreign

Letters Patent that may be granted therefore; and in and to said application;

Now, Therefore, in consideration of the sum of One Dollar (\$1.00) paid by Legato Systems,

Inc. to Qualix Group, Inc. and other good and valuable considerations, the receipt of which is

hereby acknowledged, Qualix Group, Inc. has sold, assigned and transferred and by these presents

does hereby sell, assign and transfer unto the said Legato Systems, Inc. the entire right, title and

interest in and to the said invention within the United States of America and its territorial

possessions and within all countries foreign to the United States and in and to any United States or

foreign Letters Patent that may be granted therefore and in and to the said application and in and to

any and all divisions, reissues, continuations and extensions thereof and in and to any Letters Patent

that may be granted thereon, all said rights to be held and enjoyed by Legato Systems, Inc. for its

ļå Con the free I is here to the 75 75 M March March own use and enjoyment and for the use and enjoyment of its successors and assigns to the full end of the term or terms for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by Qualix Group, Inc. if this assignment, transfer and sale had not been made.

Qualix Group, Inc. hereby authorizes and requests the United States Commissioner of Patents and Trademarks and all authorized officials of foreign patent offices to issue the said Letters Patent, when granted, to said Legato Systems, Inc. as the assignee of its entire right, title and interest in and to the same, for the sole use and enjoyment of said Legato Systems, Inc., its successors and assigns.

[Signature page follows]

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In Testimony Whereof, Qualix Group, I	nc. has caused the hand of its proper representative to be
subscribed hereto this	21 day of <u>Docember</u> 1999.
	OUALIX GROUP, INC. (dba FULLTIME SOFTWARE, INC. By Name: Separal C. Wise Title: VICE PRESIDENT
STATE OF <u>Calyornia</u>): ss. COUNTY OF <u>Genter Clana</u>)	
who signed the foregoing Assignment in the has read the same and knows the knowledge excepting as to matters there	Group, Inc. known to me to be the person described and my presence and acknowledged under oath before me that contents thereof and that the same is true of his own in alleged upon information and belief and as to those hat he executed the same as his free act and deed and for
	NOTARY PUBLIC Residing at
My Commission expires:	See Attached

AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

REAL-TIME DATA PROTECTION SYSTEM AND METHOD

\square the specification of which is attached heret	ю.		
X the specification was filed in the United St with amendment(s) filed on	ates on <u>June 9, 1995</u> as Applic (f applicable)	ation No. <u>08/489,198</u> & de	claration not accompanying application)
☐ the specification was filed as PCT internation PCT Article 19 on	onal application Serial No	on	and was amended under
I hereby state that I have reviewed and unders amendment referred to above.	stand the contents of the above ide	entified specification, includi	ng the claims, as amended by any
I acknowledge the duty to disclose information §1.56.			
I hereby claim foreign priority benefits under certificate listed below and have also identified of the application on which priority is claimed	I below any foreign application fo	19(a)-(d) of any foreign app r patent or inventor's certific	lication(s) for patent or inventor's ate having a filing date before that
EARLIEST FOREIGN APPLICATI	ON(S), IF ANY, FILED PRIOR	TO THE FILING DATE O	OF THE APPLICATION
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES □ NO □
			YES 🗆 NO 🗆
I hereby claim the benefit under Title 35, Un	ited States Code, §119(e) of any	United States provisional ap	plication(s) listed below.
APPLICATION NUME	BER	FILING	DATE
7			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

		STATUS		
APPLICATION SERIAL NO.	FILING DATE	PATENTED	PENDING	ABANDONED

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), and Marcia (Reg. No. 30893), all of Pennie & Edmonds, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

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PENY2-382508.1

DIRECT TELEPHONE CALLS TO: SEND CORRESPONDENCE TO: PENNIE & EDMONDS PENNIE & EDMONDS DOCKETING 1155 AVENUE OF THE AMERICAS (212) 790-2803 NEW YORK, N.Y. 10036-2711 FIRST NAME MIDDLE NAME LAST NAME **FULL NAME** Kenneth Galipeau OF INVENTOR COUNTRY OF CITIZENSHIP 2 STATE OR FOREIGN COUNTRY CITY **RESIDENCE &** 0 United States New Jersey Randolf **CITIZENSHIP** 1 ZIP CODE STATE OR COUNTRY STREET POST OFFICE 07869 New Jersey 6 High Ridge Road Randolf **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** Edward Winston Lee OF INVENTOR COUNTRY OF CITIZENSHIP 2 STATE OR FOREIGN COUNTRY CITY **RESIDENCE &** 0 **United States** New Jersey Somerset **CITIZENSHIP** 2 ZIP CODE STATE OR COUNTRY POST OFFICE 08875 New Jersey P.O. Box 2087 East Millstone **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** OF INVENTOR COUNTRY OF CITIZENSHIP 2 STATE OR FOREIGN COUNTRY CITY **RESIDENCE &** 0 **CITIZENSHIP** 3 STATE OR COUNTRY ZIP CODE CITY STREET POST OFFICE **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** OF INVENTOR COUNTRY OF CITIZENSHIP STATE OR FOREIGN COUNTRY 2 **RESIDENCE &** 0 **CITIZENSHIP** ZIP CODE STATE OR COUNTRY STREET POST OFFICE **ADDRESS**

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CITY

FIRST NAME

FIRST NAME

STATE OR FOREIGN COUNTRY

STATE OR FOREIGN COUNTRY

LAST NAME

STREET

CITY

STREET

LAST NAME

FULL NAME OF INVENTOR

RESIDENCE &

CITIZENSHIP

POST OFFICE

ADDRESS

FULL NAME

OF INVENTOR

RESIDENCE &

CITIZENSHIP

POST OFFICE ADDRESS

SIGNATURE OF INVENTOR 201 Kenneth J. Galipeau	SIONATURE OF INVENTOR 202	Winston E. Lee	SIGNATURE OF INVENTOR 203
DATE SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	•	SIGNATURE OF INVENTOR 206
DATE	DATE		DATE

MIDDLE NAME

COUNTRY OF CITEZENSHIP

COUNTRY OF CITIZENSHIP

STATE OR COUNTRY

ZIP CODE

ZIP CODE

STATE OR COUNTRY

MIDDLE NAME

AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

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I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

REAL-TIME DATA PROTECTION SYSTEM AND METHOD

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the specification was filed as PCT internation the specification was filed as PCT internation of the specific fluority of	ional application Serial No	on	and wa	s amended under
I hereby state that I have reviewed and unders amendment referred to above.	stand the contents of the above	e identified specification, includ	ing the claims, as	amended by any
I acknowledge the duty to disclose information §1.56.	a known to me to be material t	o patentability as defined in Titl	e 37, Code of Fed	eral Regulations,
I hereby claim foreign priority benefits under certificate listed below and have also identified of the application on which priority is claime	d below any foreign applicatio	, §119(a)-(d) of any foreign app n for patent or inventor's certifu	plication(s) for pat cate having a filin	tent or inventor's g date before that
EARLIEST FOREIGN APPLICATION	ION(S), IF ANY, FILED PR	IOR TO THE FILING DATE	OF THE APPLIC	ATION
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIOI CLAI	RITY
THI DOMESTIC TO THE STATE OF TH			YES □	NO 🗆
			YES □	NO □
I hereby claim the benefit under Title 35, Ur	nited States Code, §119(e) of	any United States provisional a	pplication(s) listed	below.
APPLICATION NUMBER		FILING	DATE	
<u>.</u>				

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

			STATUS		
APPLICATION SERIAL NO.	FILING DATE	PATENTED	PENDING	ABANDONED	

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), and Marcia H. Sundeen (Reg. No. 30893), all of Pennie & Edmonds, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

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STREET

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STREET

LAST NAME

POST OFFICE

ADDRESS

FULL NAME

OF INVENTOR

RESIDENCE &

CITIZENSHIP

POST OFFICE **ADDRESS**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CITY

FIRST NAME

STATE OR FOREIGN COUNTRY

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
18 . 198 1977 1	Winston E. Lee	
DATE WWW W 1995	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE

ZIP CODE

07869

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08875

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ZIP CODE

ZIP CODE

STATE OR COUNTRY

COUNTRY OF CITIZENSHIP

STATE OR COUNTRY

MIDDLE NAME

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:)
	Galipeau, et al.)
Serial No.:	09/074,982)
Filed:	May 8, 1998) Art Unit) 2751
For:	REAL-TIME DATA PROTECTION SYSTEM AND METHOD)))
Examiner:	Pierre Eddy Elisca)

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND REVOCATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents Washington, D. C. 20231

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). Specifically, the undersigned is an officer of the Assignee and has authority to sign on behalf of the Assignee. The entire chain of title of this invention from the Inventor(s) to the Assignee is set forth in the accompanying Certificate Under 37 C.F.R. § 3.73(b).

The Assignee of the entire interest of the above-identified application hereby revokes all previous powers of attorney in the above-identified application, and now hereby appoints: RICK D. NYDEGGER, Registration No. 28,651; DAVID O. SEELEY, Registration No. 30,148; JONATHAN

W. RICHARDS, Registration No. 29,843; JOHN C. STRINGHAM, Registration No. 40,831; BRADLEY K. DeSANDRO, Registration No. 34,521; JOHN M. GUYNN, Registration No. 36.153: CHARLES L. ROBERTS, Registration No. 32,434; GREGORY M. TAYLOR, Registration No. 34,263; DANA L. TANGREN, Registration No. 37,246; KEVIN B. LAURENCE, Registration No. 38,219; ERIC L. MASCHOFF, Registration No. 36,596; C. J. VEVERKA, Registration No. 40,858; ROBYN L. PHILLIPS, Registration No. 39,330; RICHARD C. GILMORE, Registration No. 37,335; DAVID B. DELLENBACH, Registration No. 39,166; JOHN N. GREAVES, Registration No. 40,362; KEVIN K. JOHANSON, Registration No. 38,506; DAVID L. GRIFFIN, Registration No. 44,136; R. BURNS ISRAELSEN, Registration No. 42,685; DAVID R. TODD, Registration No. 41,348; JESÚS JUANÓS i TIMONEDA, Registration No. 43,332; STEPHEN D. PRODNUK, Registration No. 43,020; R. PARRISH FREEMAN, JR., Registration No. 42,556; ADRIAN J. LEE, Registration No. 42,785; and KYLE H. FLINDT, Registration No. 42,539, of WORKMAN, NYDEGGER & SEELEY, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the Letters Patent, and to transact all business in the Patent and Trademark Office connected therewith.

Stephen C. Wise, Senior Vice President and Chief Financial Officer of Legato Systems, Inc., further declares that all statements made herein of his own knowledge are true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

All correspondence and telephonic communications should be directed to:

Richard C. Gilmore
WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone (801) 533-9800
Facsimile (801) 328-1707

All previous powers of attorney are hereby revoked.

Signed at Palo A1to, Canternia, this 14th day of December,

1999.

Stephen C/Wise, Senior V/ce President

and Chief Financial Officer

Legato Systems, Inc. 3210 Porter Drive Palo Alto, CA 94304

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:)
	Galipeau, et al.)
Serial No.:	09/074,982))) A set I I se i d
Filed:	May 8, 1998) Art Unit) 2751
For:	REAL-TIME DATA PROTECTION SYSTEM AND METHOD)
Examiner:	Pierre Eddy Elisca)

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Assignee Legato Systems, Inc., a Delaware Corporation having a place of business at 3210 Porter Drive, Palo Alto, California 94304, in accordance with 37 C.F.R. § 3.73(b) certifies that it is the Assignee of the entire right, title and interest in the patent identified above by virtue of the chain of title from the inventors of the patent identified above, to the current assignee as shown below:

1. By Assignment from inventors, Kenneth J. Galipeau and Winston Edward Lee, to Qualix Group, Inc. (dba Fulltime Software, Inc.) The document was recorded in the Patent and Trademark Office at Reel 8915, and Frame 0425.

2. By Assignment from Qualix Group, Inc. (dba Fulltime Software, Inc.) to Legato Systems, Inc. A copy of the Assignment is attached.

The undersigned has reviewed all the documents in the chain of title of the patent identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or patent issuing therefrom.

Legato Systems, Inc.

Dated: 12-14-19

Signature:

Stephen)C/Wise

Senior Vice President and Chief Financial Officer

RCG:kjb

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Docket No., 14113 55